

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|-----------------|---------------------------------|------------------------|-------------------------|------------------|--|
| 10/643,978 | 08/20/2003 | Yoshitaka Aoki | 241759US0 | 4978 | |
| 22850 | 7590 10/17/2006 | | EXAMINER | | |
| | MCCLELLAND IVAK, MCCLELLAND, | PENG, KUO LIANG | | | |
| 1940 DUKE | • | MAIER & NEOSTADI, I.C. | ART UNIT | PAPER NUMBER | |
| ALEXANDI | RIA, VA 22314 | | 1712 | | |
| | | | DATE MAILED: 10/17/2006 | | |

Please find below and/or attached an Office communication concerning this application or proceeding.

| Y | | | | | | |
|---|---|--|---|--------|--|--|
| , | Applica | ation No. | Applicant(s) | | | |
| 9 | | 3,978 | AOKI ET AL. | | | |
| Office Action Summary | Examir | ner | Art Unit | | | |
| | | ang Peng | 1712 | | | |
| The MAILING DATE of this community Period for Reply | unication appears on | the cover sheet w | ith the correspondence add | dress | | |
| A SHORTENED STATUTORY PERIOD WHICHEVER IS LONGER, FROM THE - Extensions of time may be available under the provision after SIX (6) MONTHS from the mailing date of this color. If NO period for reply is specified above, the maximum Failure to reply within the set or extended period for reply received by the Office later than three month earned patent term adjustment. See 37 CFR 1.704(b). | MAILING DATE OF ons of 37 CFR 1.136(a). In no mmunication. statutory period will apply any ply will, by statute, cause the seafter the mailing date of this | THIS COMMUNION of event, however, may a red will expire SIX (6) MON application to become AB | CATION. reply be timely filed NTHS from the mailing date of this co BANDONED (35 U.S.C. § 133). | | | |
| Status | | | | | | |
| 1) Responsive to communication(s) f | iled on <u>7/20/06 Resp</u> | onse. | | | | |
| 2a)⊠ This action is FINAL . | This action is FINAL. 2b) This action is non-final. | | | | | |
| • • | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is | | | | | |
| closed in accordance with the prac | ctice under <i>Ex parte</i> | Quayle, 1935 C.E | D. 11, 453 O.G. 213. | | | |
| Disposition of Claims | | | | | | |
| 4) Claim(s) <u>1-11 and 13</u> is/are pendir | ng in the application. | | | | | |
| 4a) Of the above claim(s) is | 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | |
| 5) Claim(s) is/are allowed. | | | | | | |
| 6)⊠ Claim(s) <u>1,4-11 and 13</u> is/are reject | | | | • | | |
| 7) Claim(s) 2 and 3 is/are objected to | | n roquiromont | | • | | |
| 8) Claim(s) are subject to rest | inction and/or election | rrequirement. | | | | |
| Application Papers | | | | | | |
| 9)☐ The specification is objected to by | the Examiner. | | | | | |
| 10) The drawing(s) filed on is/ai | | | | | | |
| Applicant may not request that any ob | • | • | | | | |
| Replacement drawing sheet(s) includi | - | | | | | |
| 11)☐ The oath or declaration is objected | to by the Examiner. | Note the attache | d Office Action of John PT | O-152. | | |
| Priority under 35 U.S.C. § 119 | | | | | | |
| 12)⊠ Acknowledgment is made of a claim a)⊠ All b)□ Some * c)□ None of: 1.⊠ Certified copies of the priori | | | § 119(a)-(d) or (f). | | | |
| 2.☐ Certified copies of the priori | • | | Application No | | | |
| 3.☐ Copies of the certified copie | - | | | Stage | | |
| application from the Internation | tional Bureau (PCT F | Rule 17.2(a)). | | | | |
| * See the attached detailed Office ac | tion for a list of the ce | ertified copies not | received. | | | |
| Attachment(s) | | | | | | |
| 1) Notice of References Cited (PTO-892) | (DT-0.46) | | Summary (PTO-413) | | | |
| Notice of Draftsperson's Patent Drawing Review Information Disclosure Statement(s) (PTO/SB/08 Paper No(s)/Mail Date <u>8/31/06</u>. | | 5) 🔲 Notice of I | (s)/Mail Date Informal Patent Application <u>e Continuation Sheet</u> . | | | |

Continuation of Attachment(s) 6). Other: English translation of JP 2000-085024.

Application/Control Number: 10/643,978

Art Unit: 1712

DETAILED ACTION

- 1. The Applicants' response filed on July 20, 2006 is acknowledged. Now, Claims 1-11 and 13 are pending.
- 2. In view of Applicants' argument and declaration (Figures 1-4) filed on July 20, 2006, claim rejection(s) under 35 USC 103 in the previous Office Action (Paper No. 031806) is/are moot.
- 3. The text of those sections of Title 35, U.S. code not included in this action can be found in prior Office Action(s).

Claim Rejections - 35 USC § 103

4. Claims 1, 4-11 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over JP024 (JP 2000-085024) in view of Bunyan (US 6 054 198).

JP024 discloses a process of preparing a heat conductive composite comprising a heat softening, heat conductive layer comprising a silicone gel and a heat conductive filler and a heat conductive silicone rubber layer comprising a heat conductive filler. The heat conductive silicone layer can be derived from peroxide-

Application/Control Number: 10/643,978

Art Unit: 1712

cured (radical reaction-cured), condensation-cured, addition-cured polyorganosiloxanes. ([0007]-[0015], [0019]-[0029] and Examples) The composite is used in a heat generating electronic component. ([0002]) JP024 is silent on silicone gel is capable of melting at the claimed temperature. However, Bunyan teaches a thermal interface material which melts at a temperature range of a typical operating temperature of an attached heat generating electronic component to better conform to the interface surfaces. (col. 5, lines 20-35) The typical operating temperature of a heat generating electronic component can be 60-80oC. (col. 5, line 64 to col. 6, line 15) In light of which, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to utilize a pre-cured silicone resin layer capable of melting at the typical operating temperature of an attached heat generating electronic component, e.g., about 60-80oC. Obviously, the temperature range can be modified to be outside this typical range depending on the particular type of the heat generating electronic component. Should Applicants argue that Bunyan is a non-analogous art for the purpose of rejection due to the use of a non-silicone material for the thermal interface material, Applicants are referred to MPEP 2141.01(a) which states that a reference may be relied on as a basis for rejection of an Applicant's invention if it is "reasonably pertinent to the particular problem with which the inventor is concerned." A reasonably pertinent reference is

Art Unit: 1712

further described as one which "even though it may be in a different field from that of the inventor's endeavor, it is one which, because of the matter with which it deals, logically would have commended itself to an inventor's attention in considering his problem." Therefore, although Bunyan is from different fields than that of the current application because of the use of a different material, it discloses that the thermal interface material is desirable to possess a certain **melting**behavior, which is especially pertinent to the invention at hand.

5. Claims 2-3 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

None of JP024 and Bunyan, taken alone or in combination, teaches or fairly suggests a silicone resin layer derived from a polymer containing the specific siloxane units set forth in the instant claims.

6. Applicant's submission of an information disclosure statement under 37 CFR 1.97(c) with the fee set forth in 37 CFR 1.17(p) on July 20, 2006 prompted the new ground(s) of rejection presented in this Office action. Accordingly, **THIS**

Art Unit: 1712

ACTION IS MADE FINAL. See MPEP § 609(B)(2)(i). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kuo-Liang Peng whose telephone number is (571) 272-1091. The examiner can normally be reached on Monday-Friday from 8:30 AM to 5:00 PM. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Randy Gulakowski, can be reached on (571) 272-1302. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306. Information regarding the status of an

Application/Control Number: 10/643,978

Art Unit: 1712

application may be obtained from the Patent Application Information Retrieval

(PAIR) system. Status information for published applications may be obtained

from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about

the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on

access to the Private PAIR system, contact the Electronic Business Center (EBC)

at 866-217-9197 (toll-free).

klp

October 11, 2006

Kuo-Liang Peng

Page 6

Primary Examiner

Art Unit 1712